SEC Form 4	
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FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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obligations may continue. See Instruction 1(b) Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person' (Check all applicable) Freshworks Inc. [FRSH] Ramamurthy Srinivasagopalan Director 10% Owner Officer (give title Other (specify Х below) below) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Dav/Year) Chief Product Officer C/O FRESHWORKS INC. 02/14/2022 2950 S DELAWARE STREET, SUITE 201 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) (Street) SAN MATEO 94403 х Form filed by One Reporting Person CA Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction 2A. Deemed 4. Securities Acquired (A) or 5. Amount of 6. Ownership 7. Nature of 1. Title of Security (Instr. 3) Form: Direct (D) or Indirect (I) (Instr. 4) Execution Dat Transaction Disposed Of (D) (Instr. 3, 4 and 5) Securities Indirect Beneficial Securities Beneficially Owned Following (Month/Day/Year) if any Code (Instr (Month/Day/Year) 8) Ownership Reported (Instr. 4) (A) or (D) Transaction(s) v Price Code Amount (Instr. 3 and 4) Class A Common Stock 02/14/2022 180,278 D С 180,278 A \$0.00 **Class A Common Stock** 02/14/2022 180.278(1) D F D \$18.41 0 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 8. Price of Derivative 1. Title of 2. Conversion 3. Transaction 3A. Deemed . Number of 6. Date Exercisable and 7. Title and Amount 9. Number of 10. 11. Nature Transaction Expiration Date Derivative Execution Date Ownership of Indirect Derivative of Securities derivative (Month/Day/Year) Security or Exercise if any Code (Instr. Securities (Month/Day/Year) Underlying Security Securities Form: Beneficial Beneficially (Instr. 3) Price of Derivative (Month/Dav/Year) 8) Acquired (A) or Disposed of (D) Derivative (Instr. 5) Direct (D) Security Ownership (Instr. 3 and 4) Owned or Indire (Instr. 4) Following (Instr. 3, 4 and 5) (I) (Instr. 4) Security Reported Amount Transaction(s) (Instr. 4)

Explanation of Responses:

(2)

(2)

(5)

(5)

02/14/2022

02/14/2022

02/14/2022

02/14/2022

1. Represents the number of shares withheld by the Issuer to satisfy the tax withholding obligation in connection with the settlement of Restricted Stock Units.

Code V

M

М

м

С

(A)

341,790

(D)

276,160

65 630

180,278

2. Each Restricted Stock Unit represents a contingent right to receive one share of Class B Common Stock.

3. The shares of Class B Common Stock are to be acquired upon the vesting of a Restricted Stock Unit (RSU) award granted to the Reporting Person. The RSU shall vest as follows: 1/4th of the shares subject to the RSU vest on the first anniversary of October 9, 2019, and the remaining shares will vest in equal installments every six months thereafter over 36 months, subject to the Reporting Person continuing to be a Service Provider (as defined in the Issuer's 2011 Stock Plan) and the occurrence of either (1) an IPO or (2) a Sale Event (each as defined in the Issuer's 2011 Stock Plan), in each case, within 10 years following the grant date. 4. The shares of Class B Common Stock are to be acquired upon the vesting of a Restricted Stock Unit award granted to the Reporting Person. The Restricted Stock Units shall vest in equal quarterly installments over 48 months with a vesting commencement date of April 1, 2021, subject to the Reporting Person continuing to be a Service Provider (as defined in the Issuer's 2011 Stock Plan) and the occurrence of either (1) an IPO or (2) a Sale Event (each as defined in the Issuer's 2011 Stock Vinits shall vest in equal quarterly installments over 48 months with a vesting commencement date of April 1, 2021, subject to the Reporting Person continuing to be a Service Provider (as defined in the Issuer's 2011 Stock Plan) and the occurrence of either (1) an IPO or (2) a sale Event (each as defined in the Issuer's 2011 Stock Units shall vest in equal quarterly installments over 48 months with a vesting commencement date of April 1, 2021, subject to the Reporting Person continuing to be a Service Provider (as defined in the Issuer's 2011 Stock Plan) and the occurrence of either (1) an IPO or (2) a Stock Plan Person.

Date Exercisable

(3)

(4)

(5)

Expiration

03/02/2030

05/16/2031

(5)

(5)

Title

Class B

Commor

Stock

Class B

Commo

Stock

Class A

Commo

Stock

Class A

Commo Stock

Date

48 months with a vesting commencement date of April 1, 2021, subject to the Reporting Person continuing to be a Service Provider (as defined in the Issuer's 2011 Stock Plan) and the occurrence of either (1) an IPO or (2) a Sale Event (each as defined in the Issuer's 2011 Stock Plan), in each case, within 10 years following the grant date.

certain exceptions, and in certain other circumstances described in the Issuer's amended and restated certificate of incorporation. Each share of Class B Common Stock will also be convertible at any time at the option of the Reporting Person into one share of Class A Common Stock, and has no expiration date.

Remarks:

Restricted

Restricted

Stock

Units

Stock Units

Class B

Commor

Class B

Commor

Stock

Stock

/s/ Pamela Sergeeff, Attorney-in-02/16/2022

fact

** Signature of Reporting Person Date

Number of Shares

276,160

65.630

341,790

180,278

\$0.00

\$0.00

\$0.00

\$0.00

576,920

284 370

464,752

284,474

D

D

D

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.